

Academic Affairs Fiscal Contacts Meeting

December 15, 2015

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Today's Topics

- ▶ Status updates:
 - 15/16 allocations – Support Model, GSGEI & Masters Growth Incentive
 - Simplified Operating Fund Initiative (SOFI)
 - New Financial Reporting
- ▶ Divisional Support Model Methodology
- ▶ GSGEI model Methodology
- ▶ Masters Growth Incentive methodology
- ▶ Future meeting topics

Status– Divisional Support Model

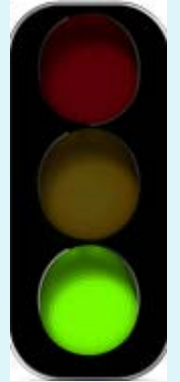
- ▶ Preliminary Model and Divisional meetings complete
- ▶ Allocating 98% of the model in permanent funding in December 2015
- ▶ Permanent funding includes the Equipment Match and I&R Equipment allocations
- ▶ The model identified unusual budget situations
- ▶ EVC withheld part of the allocation to deal with anomalies in January and February

Status – GSGEI & Master's Growth

- ▶ Graduate Student Growth & Excellence Initiative:
 - \$3.6M to allocate for Fall 2015
 - Problem: \$1.9M carry forward balance as of June 2015
- ▶ Masters Growth Incentive:
 - \$4.7M to allocate to Departments & Divisions
 - \$877K for Diversity Pool
 - Allocations to hit in December
 - REMINDER – Masters Growth Incentive funding is not restricted to grad student support and can be used for any department operations

Simplified Operating Fund Initiative (SOFI)

- ▶ We have received approval to proceed effective 7/1/16
- ▶ with a compromise
- ▶ 2 Funds
 - University Core Fund: State General Fund, University General Fund and Tuition and Fees
 - Campus Operating Fund: remainder of unrestricted cores funds e.g. Indirect Cost, Patent Income, Investment Income



New Financial Reporting

- ▶ Since we will be creating all new department index numbers lets set them up for better roll-up reporting
- ▶ Map all department index numbers to a new set of program codes

Program Code – Category examples

- ▶ General Operations *400000*
 - Core Operations *400100*
 - External Activities *400200*
- ▶ Academic Programs *401000*
 - Undergraduate *401100*
 - Graduate *401200*
- ▶ Research Support *440000*
 - Core Operations *441000*
 - Grant Matching *442000*
 - Bridge Funding *443000*
- ▶ Faculty Controlled Accounts *403000*
 - Start-up *403100*
 - Retention *403200*
 - Other Faculty Controlled Accounts *403300*

Financial Reporting – Next Steps

- ▶ Are we missing any other reporting categories?
- ▶ Determine which Program Code sequences we can use
- ▶ Divisions and Departments identify naming convention for index numbers and index titles

New Divisional Budget Workload Model

▶ Concept

- Workload driven model
- Modular
- Fund reasonable levels of staffing and supplies
- Inputs come from Resource Profiles
- Adjust permanent budget annually as work goes up and down
- Allocation made to Divisions and they adjust allocations to Departments

▶ Separated into 3 areas

- Core Operations
- Specialized Instructional Labs
- Research Support

Core Support Staff Inputs

Core Support Factors				
Departmental Administration	Matrix per every	Variable	@ salary	INPUTS
Dept Mgmt		1 per department	110,000	
Assistant to Chair	0.33	Academic department/section	47,500	Academic department/section
Faculty Support	20	LRF headcount	46,000	Regular faculty HC
Funds Assistant	6.00	M Other fund expense managed	51,000	Core fund expenditures - sub 0 expense
Financial Mgmt	6	Fiscal staff generated by model	78,000	
Academic Personnel	80	Academic	56,500	All Academic HC exclude researchers
Staff HR / Payroll	300	Staff headcount	52,500	Total core-funded HC (staff + TA + Reader/Tutors)
UG Advising	850	Undergrad majors	45,500	3Q average UG majors
UG Course Support	708*	UG/Summer enrollments	45,500	per 8500 Total SumFWS enrollment
Grad Advising/Course Support	80	Grad majors	49,000	3Q average (PhD)
Student Affairs Mgmt	6	Student Affairs personnel	68,500	
IT Support	115	Employee HC + Student emp	67,500	Academic HC + Core staff HC + TAFTE + core UG
IT Mgmt	6	IT Support personnel	112,000	
Instr Facilities/Tech	50K / 60K	ASF Labs	66,000	ASF instructional space

Inputs for Supplies & Dean's Office

Non-Salary expense				
S & E - general support	\$4,000	Academic FTE (non-student)		Core-funded academic FTE
S & E - general support	\$2,000	Staff FTE (non-student)		Core-funded staff FTE
NGN (Academics)	\$1,000	Actual Core academic FTE		Actual core academic FTE
NGN (Staff)	\$1,000	Staff FTE generated by model		Staff FTE generated by model
Divisional Administration				
AP Sr Analyst	1	Division	80,000	
AP Additional	300	Academic headcount above 200	56,500	Academic HC - 200
Asst Dean	1	Division	160,000	
Fiscal Pr Analyst	1	Division	100,000	
Communications/PR	1	Division	80,000	
Development Support	1	Division	54,000	
Dean's Assistant	1	Division	53,000	

Instructional Labs & Research Support

- ▶ Instructional Labs funded via historical Instructional Lab FTE & Instructional Lab S&E
- ▶ Research Support – 7.5% of Direct Costs

GSGEI – Description

Return of Non-resident tuition for doctoral and MFA students to enhance the quantity and/or quality of PhD programs

- ▶ Based on first, second and third-year non-resident enrollment
- ▶ Funds have to be used in fiscal year they are allocated.
- ▶ 50% of Non-resident portion of GSRTF has to be allocated to faculty that generated it

GSGEI – Model

- ▶ Based on 3rd week enrollment of 1st, 2nd & 3rd year non-resident students
- ▶ Excludes joint doctoral students, visiting students, non-degree students
- ▶ Departments are to contribute 10% so allocation is made at 90%
- ▶ 90% of Enrollment X non-resident supplemental tuition (\$5,034)

Masters Growth Incentive

- ▶ Perm allocation for 12/13 –13/14 Masters Baseline
- ▶ Every new Masters enrollment beyond baseline:
 - \$9,280 for Department
 - \$1,382 for Division
- ▶ Adjustment made at end of year (or beginning of next year) for actual enrollments and variance between departments

15/16 Fiscal Contacts Meetings

Potential Topics and Formats:

- ▶ Balance sheet accounts
- ▶ Block Grant allocation methodology
- ▶ Campus funding sources general overview
- ▶ Disbursement update e.g. IRS, Tax issues, honorarium payments
- ▶ Fund Management Best Practices
- ▶ Group Discussions
- ▶ Google Apps
- ▶ Pivot Tables & Excel tips
- ▶ Queries for retrieving and reviewing data
- ▶ Recharge facilities
- ▶ Staffing FTE future
- ▶ Strategic Planning proposals and impact
- ▶ Summer Session allocation model
- ▶ TA and Temp FTE
- ▶ Teaching Assignment loads across campus

Thank you.

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